

TRUSTEE CERTIFICATION OF INVESTMENT POWERS

(Directly Held Accounts Only)

This Trustee Certification of Investment Powers (TCIP) must be completed in its entirety and must be signed by all current Trustees.

Account Information

Trust Account Number: (<input type="checkbox"/> New / Unknown)		Governing State Law:
Account Registration / Name of Trust:		
Tax ID:	Effective Date:	Amendment Date:
Trust Type: (Select all that apply) <input type="checkbox"/> Revocable* <input type="checkbox"/> Irrevocable <input type="checkbox"/> Testamentary <input type="checkbox"/> Charitable <input type="checkbox"/> Family <input type="checkbox"/> Living <input type="checkbox"/> Irrevocable Living Trust <input type="checkbox"/> Other: _____		
*If Revocable, Name of person who can Revoke:		

Trustee Information

The Trustees authorize Private Client Services ("PCS") to open and maintain this trust account to accept orders and other instructions relating to the Trust account from the Trustees. The Trustees represent, warrant and certify that they have the full authority under the Trust to buy, sell, exchange, convert, tender, redeem and withdraw assets, including the delivery of assets to and from the Trust account and to third parties.

There are no other trustees of the Trust other than the undersigned.

Please select one of the following:

- ☐ The trust agreement lists only one trustee to act on behalf of the trust
- ☐ The Trust Agreement explicitly authorizes each of the following Trustees to *act individually without the approval of the other Trustees*. PCS has the authority to accept orders and other instructions in connection with the Trust account from any one of these Trustees, acting alone, and such Trustee may execute any documents on behalf of the Trust that PCS may require.
- ☐ The Trust Agreement *does not* authorize the trustees to act individually on behalf of the Trust account.

TRUSTEE CERTIFICATION OF INVESTMENT POWERS

Trustee 1 Name:
Address:
Trustee 2 Name:
Address:
Trustee 3 Name:
Address:
Trustee 4 Name:
Address:
Successor Trustee Name(s):

Trustee Powers:

The undersigned Trustees are specifically authorized to:

- ☐ Yes ☐ No Establish and maintain an asset management account with a debit card and/or check writing, from which account funds are directly spent, the responsibility for which is entirely that of the Trustee(s).
- ☐ Yes ☐ No Delegate Trustee powers, including check writing authority, to third parties, such as investment advisors or other agents, under a Power of Attorney (POA), other document, or the Trust Agreement. A copy of the POA, other document, or section of the Trust Agreement showing the delegation of such power in undersigned's capacity as Trustee is also required.
- ☐ Yes ☐ No Maintain margin accounts and through such accounts borrow money to withdraw funds on margin, purchase securities on margin and to pledge those securities and all others in the margin account as security for the loan.
- ☐ Yes ☐ No Engage in options trading.
- ☐ Yes ☐ No Borrow money or make any contract the effect of which is to borrow money and secure such obligations by mortgages or other liens upon the Trust property; borrow, guarantee and/or pledge any Trust assets as collateral, as the case may be, with respect to a loan; guarantee a borrowing of money or to make any contract the effect of which is to guarantee a borrowing, and secure such obligations by mortgages or other liens upon any Trust property.

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Trustee Indemnification and Signatures

The undersigned Trustees jointly and severally indemnify and hold harmless Private Client Services, and their affiliates, officers, directors, employees, agents, successors or assigns from any liability (including attorney's fees) from and against any claims, judgments, expenses, liabilities or costs of defense or settlement arising out of or related to breach of any representation or warranty made herein, or from effecting any transfers or transactions pursuant to instructions given by any of the Trustees or Successor Trustees listed above, or any actual improper or unsuitable action resulting from instructions given to Private Client Services by any of the Trustees. This indemnification is made both in our capacities as Trustees and in our individual capacities. We certify that the Trust is currently in existence, had not been revoked, modified or amended in any manner that would cause the certifications herein to be incorrect and, we agree to inform Private Client Services immediately in writing of any amendment to the Trust, any change in the composition of the Trustees, or any other event which could alter the certifications made above. We acknowledge Private Client Services' right to examine the Trust Agreement and hereby agree to provide Private Client Services with a copy of the Trust Agreement if so requested. (Where applicable, plural references in this certification shall be deemed singular.)

Trustee 1 Name:	Date:
Signature	
Trustee 2 Name:	Date:
Signature	
Trustee 3 Name:	Date:
Signature	
Trustee 4 Name:	Date:
Signature	