



# NON-BANK INVESTMENT PRODUCT DISCLOSURE

Securities and insurance products are offered through Private Client Services, LLC, Member FINRA and SIPC. 2225 Lexington Road, Louisville, KY 40206, 502-451-0600. Private Client Services, LLC and Uwharrie Capital Corporation along with its affiliates and/or subsidiaries are separate, distinct, and unaffiliated entities. Uwharrie Investment Group is the trade name under which Private Client Services conducts business with Uwharrie Capital Corp and its affiliate banks.

**PLEASE BE ADVISED OF THE FOLLOWING:**

<b>NOT FDIC- INSURED</b>	May lose value  No bank guarantee
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**The securities and/or insurance products which are offered through Private Client Services, LLC are non-deposit products and:**

- (1) ARE NOT INSURED BY THE FDIC OR ANY FEDERAL GOVERNMENT AGENCY;**
- (2) ARE NOT OBLIGATIONS OF OR GUARANTEED BY ANY FINANCIAL INSTITUTION, INCLUDING THE BANK OR FINANCIAL INSTITUTION IN WHICH UWHARRIE INVESTMENT GROUP IS LOCATED;**
- (3) WILL SUBJECT THE PURCHASER TO INVESTMENT RISK, INCLUDING POSSIBLE LOSS OF PRINCIPAL AMOUNT INVESTED.**

By signing below, I/We acknowledge receipt and understanding of the information provided above, and understand that the investment product(s) I/we are purchasing today are not guaranteed and contain risk, including possible loss of investment principal.

<b>Account Owner Name:</b>	<b>Account Owner Signature:</b>	<b>Date:</b>
<b>Joint Account Owner Name:</b>	<b>Joint Account Owner Signature:</b>	<b>Date:</b>

<b>Representative Name:</b>	<b>Account Number (If available):</b>