



**PRIVATE
CLIENT
SERVICES™**
MEMBER FINRA, SIPC
A Registered Investment Advisor

CUSTOMER SYSTEMATIC INVESTMENT REQUEST

New Instructions

Account Number: _____

Replace Existing Instructions

Account Registration: _____

Note: Account must already hold the position(s) before systematic instructions may be established.

Please set up the following automatic instructions for the account listed above:

<p>1. <input type="checkbox"/> Purchase <input type="checkbox"/> Sell Fund Name: _____ Symbol: _____ Dollar Amount: _____ Frequency: <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input type="checkbox"/> Annual Effective Date: _____ <input type="checkbox"/> LOI Date: _____ Amount: _____ <input type="checkbox"/> ROA Breakpoint: _____</p>
<p>2. <input type="checkbox"/> Purchase <input type="checkbox"/> Sell Fund Name: _____ Symbol: _____ Dollar Amount: _____ Frequency: <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input type="checkbox"/> Annual Effective Date: _____ <input type="checkbox"/> LOI Date: _____ Amount: _____ <input type="checkbox"/> ROA Breakpoint: _____</p>
<p>3. <input type="checkbox"/> Purchase <input type="checkbox"/> Sell Fund Name: _____ Symbol: _____ Dollar Amount: _____ Frequency: <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input type="checkbox"/> Annual Effective Date: _____ <input type="checkbox"/> LOI Date: _____ Amount: _____ <input type="checkbox"/> ROA Breakpoint: _____</p>
<p>4. <input type="checkbox"/> Purchase <input type="checkbox"/> Sell Fund Name: _____ Symbol: _____ Dollar Amount: _____ Frequency: <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input type="checkbox"/> Annual Effective Date: _____ <input type="checkbox"/> LOI Date: _____ Amount: _____ <input type="checkbox"/> ROA Breakpoint: _____</p>
<p>5. <input type="checkbox"/> Purchase <input type="checkbox"/> Sell Fund Name: _____ Symbol: _____ Dollar Amount: _____ Frequency: <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input type="checkbox"/> Annual Effective Date: _____ <input type="checkbox"/> LOI Date: _____ Amount: _____ <input type="checkbox"/> ROA Breakpoint: _____</p>

Representative Name:	Representative Signature:	Request Date:
----------------------	---------------------------	---------------