



# TRUSTED CONTACT PERSON INFORMATION

FINRA Rule 4512 (Customer Account Information) requires each Broker/Dealer to maintain trusted contact information for each customer account, to the extent the client is willing to provide such information.

The Trusted Contact Person should be an individual the client is comfortable allowing Private Client Services to contact in order to disclose information about the customer's account to address possible financial exploitation, to confirm the specifics of the customer's current contact information, health status, or the identity of any legal guardian, executor, trustee or holder of a power of attorney.

If we are unable to reach you for an extended time (not less than 60 days), you authorize Private Client Services to contact the person listed below and to disclose information about you in order to confirm the specifics of your current contact information, health status, and the identity of any legal guardian, executor, trustee, or holder of a power of attorney. **Note:** Your Trusted Person / Back-up Contact should not be a co-applicant.

Contact Name	Home Phone	Mobile Phone	Work Phone
Contact Address		Relationship to Owner(s):	

Owner Name	Owner Signature:	Date:
Joint Owner Name:	Joint Signature:	Date:
Applicable Account Numbers:  _____		