



## Trade Correction/Adjustment Form

Let our independence ignite yours.™

Use this form to correct non-market related trade elements only. Trade errors should be called in directly to the Trading Team at 502-992-1644.

<b>Date:</b>		<b>Rep Name:</b>	
<b>Trade Date:</b>		<b>Rep Number:</b>	
<b>Account Number:</b>		<b>Client Name:</b>	
<b>Buy/Sell:</b>		<b>Symbol/CUSIP:</b>	
<b>Quantity:</b>		<b>Reference Number:</b>	

*Indicate which fields need to be corrected below.*

Field	Old Value	New Value
Commission:		
Solicited:		
Discretion:		
Account Number:		
Rep Code:		
Other: _____		

*Reason for correction and additional information:*

<b>Supervisor:</b>		<b>Supervisor Signature:</b>	
<b>Representative:</b>		<b>Rep Signature:</b>	
<b>Corrected By:</b>		<b>Date:</b>	

Home Office Use Only:

Logged: \_\_\_\_\_ Scanned: \_\_\_\_\_