



SECURITIES EXAMINATION REQUEST FORM

Complete this form only if you are scheduling or rescheduling an examination. If you have requested an AG registration in a jurisdiction (state) that requires that you pass the S63 examination, an S63 window will be automatically opened for you. If you have requested an RA registration in a jurisdiction that requires that you pass the S65 examination, the S65 window will be automatically opened for you. Once the examination window is open you are able to schedule your examination time and date with a testing center near you. Please contact PCS Licensing & Registration if you have any questions regarding this information.

Exam	Description of Examination	Cost
SIE	Securities Essentials (SIE) Exam	\$60
S4	Registered Options Principal Examination	\$105
S6	Investment Company and Variable Contracts Products Representative Examination	\$40
S7	General Securities Representative Examination	\$245
S11	Assistant Representative-Order Processing Examination	\$80
S24	General Securities Principal Examination	\$120
<input type="checkbox"/> S26	Investment Company and Variable Contracts Products Principal Examination	\$100
S51	Municipal Funds Securities Limited Principal Examination	\$255
S53	Municipal Securities Principal Examination	\$265
S63	Uniform Securities Agent State Law Examination	\$147
S65	Uniform Investment Advisor Law Examination	\$187
S66	Uniform Combined State Law Examination (Investment Advisor + State Law) Exam	\$177
S99	Operations Professional Examination	\$40
<input type="checkbox"/> Other		

Reason for request:

Representative Name	Signature	Date
Managing Principal Name	Signature	Date

Home Office Use:

<input type="checkbox"/> Approved <input type="checkbox"/> Declined Decline Reason:		
Senior Management Name	Signature	Date

Submitted Request to CRD: _____ Date _____ By: _____ Name of Processor