

# Online Access and Electronic Delivery Form

Your financial organization will provide you with the name of the website through which you will access your accounts.

Financial Organization Name

You may use this form to:

- Create a User ID and temporary password for online access
- Link additional accounts to an existing User ID
- Provide instructions to establish electronic delivery (e-delivery) of brokerage account communications
- Change delivery instructions for accounts linked to an existing User ID

## STEP 1. ACCOUNT OWNER INFORMATION

All fields are required.

One valid email address must be provided for delivery of notification emails.

Notices related to online activity may be sent to this email address.

Primary Account Owner Name

Mother's Maiden Name

Account Owner's Date of Birth

Email address

If You Have an Existing User ID, Please Provide it Here

If you are requesting a new User ID, indicate your first and second choices below. User IDs must have a minimum of 7 and maximum of 15 characters, are NOT case sensitive, and should consist of letters and numbers only.

User ID Desired (first choice)

User ID Desired (second choice)

## STEP 2. ON-LINE USER ID AND ELECTRONIC DELIVERY OF ACCOUNT COMMUNICATIONS

For your convenience, account communications will be delivered to you electronically. However, tax documents will be delivered via paper unless you access your account on-line and update your preference to electronic delivery. You will be notified by e-mail when documents become available for you to retrieve online at our website. The URL for the website will be provided to you via email once your ID has been established.

To link this account to your existing ID, please provide the ID here

Electronic delivery is subject to the Terms and Conditions that apply to this Agreement. A valid email address must be provided for delivery of notification emails.

**For online access, please provide this required information:**

Mothers Maiden Name (last name)

Date of Birth (**required** to establish a User ID)

Email Address

Additional information about Electronic Delivery can be found in the Electronic Delivery Terms that accompany this Agreement.

If you are requesting a new User ID, indicate your first and second choices below. User IDs must have a minimum of 7 and maximum of 15 characters.

User ID Desired (first choice)

User ID Desired (second choice)

If you do not request a User ID above, all of your account communications will default to paper delivery. In addition, if you establish a User ID, but would prefer to receive certain Account Communications via paper, please login on-line upon receipt of your User ID and change your delivery preferences. Each Account Communication delivered via paper may be subject to a fee.



**STEP 3. LINK ACCOUNTS TO THE USER ID**

Please list all accounts that should be linked to this User ID. For U.S. accounts, the Social Security number of the primary account holder must be affiliated, either as an account owner or participant, on the account numbers provided below. If the primary account holder above is not an owner on all accounts listed below, each account owner must sign in Signatures.

You must read and agree to the Electronic Delivery Agreement in Investor Terms and Conditions and sign in Signatures.

if you are linking more than five accounts, please attach additional account information.

	ACCOUNT ACCESS ONLY	ELECTRONIC DELIVERY
	Link to Primary Account	Proxy and Shareholder Communications
Primary Account Number		
Account Number	<input type="checkbox"/>	<input type="checkbox"/>
Account Number	<input type="checkbox"/>	<input type="checkbox"/>
Account Number	<input type="checkbox"/>	<input type="checkbox"/>
Account Number	<input type="checkbox"/>	<input type="checkbox"/>

**STEP 4. INVESTOR TERMS AND CONDITIONS**

**Electronic Delivery Terms and Conditions**

“You” means the account owner(s) of the account.

“Account Communications” means account statements, trade confirmations or other notices, disclosures and other information related to your account including without limitation prospectus, quarterly, semi-annual or annual shareholder reports, proxy statements, and legal and regulatory notices and documents.

“Authorized User” means a person that you have authorized to be provided a user ID which enables access to your account online.

You agree that your financial organization and your clearing broker Pershing LLC (Pershing) may make the account communications available in an electronic form as described herein instead of mailing them in paper form.

Account communications will be made available to you online via a website maintained by Pershing on behalf of your financial organization (the “website”). Account communications will be available in portable document format (PDF). You affirm that you have installed a recent version of Adobe Acrobat Reader or other software application that enables you to view and print your account communications. You may print or save a copy of any of the account communications at any time. You may request a mailed copy of any of your account communications by contacting your financial organization.

An electronic mail (“email”) notification will be sent when account communications are available to be accessed on the website. The email will provide you with the URL of the website. The email notification(s) will be sent to the email address(es) you provided directly to your financial organization or provided by registering on the website. You may view and verify your email address(es) via the website, and change the email address(es) through the website or by contacting your financial organization.

In the event that a notice of undeliverable status of an email

notification is received by Pershing, your financial organization or Pershing may send a paper notification by U.S. mail to the postal address you provided directly to your financial organization. The paper notification will notify you of the availability of Account Communications on the website, provide the URL of the website where account communications may be accessed, and direct you to update your email address of record in paper form.

In the event of an email notification failure as defined by Pershing, Pershing may discontinue your enrollment in electronic delivery and reset your account communications delivery preferences so that future documents will be delivered to your mailing address of record in paper form. If you lose access to the website, you should contact your financial organization to have your access reinstated. By providing this Electronic Delivery Consent, you affirm that you have provided a valid email address directly to your financial organization or by registering on the website, have access to the Internet and are at least 18 years of age. You agree that electronic delivery of the account communications is deemed accepted, regardless of whether you access or view a particular account communication document. You acknowledge that any authorized users for your account are able to make changes to the electronic delivery settings for your account, and you agree to accept responsibility for such changes.

You may change your delivery preferences, such as resuming applicable paper account communications, by following the instructions on the website, or by contacting your financial organization directly for assistance.

**Website Access Terms and Conditions**

“You” means the account owner(s) of the account.

“Authorized User” means a person that you have authorized to be provided an additional user ID which enables access to your account online.

“Website” means the website maintained by Pershing LLC on behalf of your financial organization that enables you to access your account information and perform other activities.

You expressly agree and acknowledge that your use and any authorized users’ use of the website is subject to the terms and conditions of use that are posted on the website, including without limitation any disclaimers of warranties and other notices therein. Such terms and conditions may be amended from time to time by posting them on the website. Continued use of the website by you or your authorized users will constitute your acceptance of the then-current terms and conditions.

You agree that you are solely responsible for: (a) safeguarding and keeping confidential your password and user IDs that you and your authorized users use to access the website and any information

that is retrieved from the website. You agree that you and your authorized users will only access the website from devices with industry standard anti-virus/anti-malware protection. You agree to accept responsibility for all activities that occur under such user ID(s) or password(s).

You agree to immediately notify your financial institution if: (i) the passwords or user IDs are lost or stolen, or (ii) you become aware of any unauthorized use of the passwords, user IDs, or access to your accounts that may be related to your use of the website. You agree that the providers of the website are not liable for any loss or damage arising from any activity that occurs via the use of your password and/or user ID.

**STEP 5. SIGNATURES**

Each account owner must sign this form.

By signing below you represent that you have read and understand the Investor Terms and Conditions.

**Account Owners Signature**

Print Name	Date
Signature X	

**Account Owners Signature**

Print Name	Date
Signature X	

**Account Owners Signature**

Print Name	Date
Signature X	

**Account Owners Signature**

Print Name	Date
Signature X	