PRIVATE CLIENT SERVICES™
MEMBER FINRA, SIPC
A Registered Investment Advisor

Client Account Representative Change Request

This form must be completed and approved by PCS. Once approved, PCS will update the firm's back-office systems. The form will be returned to the Representative who will workdirectly with the vendor to update the Representative code.

Select One: ☐ Single Account Change ☐ Block of Business Change

Account Registration:	**Vendor/Custodian:**	**Vendor Account #:**	**Pershing Account #:**

Current Representative Name:	**Current Rep #:**	**New Representative Name:**	**New Rep #:**

☐ If the current representative is PCS House, who was the representative prior to the account(s) being assigned to PCS House? Representative's Name:	**Client's State of Residence:**

☐ Yes ☐ No	If the account(s) is/are being re-assigned between two current representatives, will the request result in a change of commission payout on the account? If yes, please answer the below questions:
☐ Yes ☐ No	Is there any indication that compensation sharing may take place without a split rep code?
☐ Yes ☐ No	Is there any indication that the request is being made in order to increase representative compensation?

Please provide the reason(s) for this request:

Signatures		
OSJ Principal Name (if applicable):	Signature:	Date:

☐ Approved	☐ Denied	Decline Reason:

Home Office Principal Name:	Signature:	Date:

☐ NetX360 updated
☐ Copy of client file moved to new rep
☐ Scanned Rep Change Request form