



EQUITABLE

Schedule II.57 (Annuity) Effective Date: February 22, 2021

Compensation for Investment EdgeSM 21.0

Certificate Form Number: ICC21IEBASE2
Contract Form Number: ICC21 App 02 IE
Data Pages Form Number: ICC21DPB-IE

<u>Contract</u>	Age of Owner Upon Acceptance of <u>Contribution</u>	<u>Option</u>	Contribution Based <u>Compensation</u>	Trail Years <u>2-5</u>	Trail Years <u>6+</u>
Investment EdgeSM (NQ)	0-80	I	5.25%	0.00%	0.40%
		II	4.50%	0.25%	0.50%
		III	3.75%	0.50%	0.50%
		IV	1.25%	1.00%	1.00%
	81-85*	I	2.60%	0.00%	0.40%
		II	2.25%	0.25%	0.50%
		III	1.85%	0.50%	0.50%
		IV	1.00%	0.60%	0.60%
Investment EdgeSM (Traditional IRA and Roth IRA and SEP IRA)					
20-80	I	5.25%	0.00%	0.40%	
	II	4.50%	0.25%	0.50%	
	III	3.75%	0.50%	0.50%	
	IV	1.25%	1.00%	1.00%	
81-85*	I	2.60%	0.00%	0.40%	
	II	2.25%	0.25%	0.50%	
	III	1.85%	0.50%	0.50%	
	IV	1.00%	0.60%	0.60%	
Investment EdgeSM (QP – Defined Contribution and Defined Benefit)					
0-80	I	5.25%	0.00%	0.40%	
	II	4.50%	0.25%	0.50%	
	III	3.75%	0.50%	0.50%	
	IV	1.25%	1.00%	1.00%	
81-85*	I	2.60%	0.00%	0.40%	
	II	2.25%	0.25%	0.50%	
	III	1.85%	0.50%	0.50%	
	IV	1.00%	0.60%	0.60%	
Investment EdgeSM (Inherited NQ and Inherited IRA – Traditional and Roth IRA)					
0-70	I	5.25%	0.00%	0.40%	
	II	4.50%	0.25%	0.50%	
	III	3.75%	0.50%	0.50%	
	IV	1.25%	1.00%	1.00%	



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* Contributions made at age 86 (or if later the first contract anniversary) will receive this comp. Note that the maximum contribution age is through age 85, or if later, the first contract anniversary. Commission age breaks are based on the Owner's attained age.

- For non-natural owners, the age breaks are based on the Annuitant's attained age or older Annuitant as applicable.
- For Joint Owner contracts, they are based on the older individual.

There will be a 100% commission recovery upon surrender within 12 calendar months after a BCO or Spousal Continuation election has been processed.

Recovery of Commissions upon Death within One Year of Contribution(s) to Contract:

- a) When a death benefit becomes payable within the first six months after the contract is issued or a subsequent contribution is made, all commission paid with respect to that initial or subsequent contribution will be recovered.
- b) When a death benefit becomes payable after six months but within the first twelve months after the contract is issued or a subsequent contribution is made, one-half the commission will be recovered.
- c) In the case of an accidental death or other extraordinary circumstances, the financial professional can appeal the recovery by submitting a request for exception.



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Schedule II.58 (Annuity) Effective Date: February 22, 2021

Compensation for Investment EdgeSM 21.0 Select

Certificate Form Number: ICC21IEBASE1
Contract Form Numbers: ICC21 App 02 IE
Data Pages Form Number: ICC21DPC-IE

<u>Contract</u>	<u>Age of Owner Upon Acceptance of Contribution</u>	<u>Option</u>	<u>Contribution Based Compensation</u>	<u>Trail Years 2+</u>
Investment EdgeSM Select (NQ)	0-80	I	1.25%	1.00%
	81-85*	I	0.75%	0.60%
Investment EdgeSM Select (Traditional IRA and Roth IRA and SEP IRA)	20-80	I	1.25%	1.00%
	81-85*	I	0.75%	0.60%
Investment EdgeSM Select (QP – Defined Contribution and Defined Benefit)	0-80	I	1.25%	1.00%
	81-85*	I	0.75%	0.60%
Investment EdgeSM Select (Inherited NQ and Inherited IRA - Traditional and Roth IRA)	0-70	I	1.25%	1.00%

*Contributions made at age 86 (or if later the first contract anniversary) will receive this comp. Note that the maximum contribution age is through age 85, or if later, the first contract anniversary. Commission age breaks are based on the Owner's attained age.

- For non-natural owners, the age breaks are based on the Annuitant's attained age or older Annuitant as applicable.
- For Joint Owner contracts, they are based on the older individual.

There will be a 100% commission recovery upon surrender within 12 calendar months after a BCO or Spousal Continuation election has been processed.

Commission Recovery for Investment EdgeSM Select
1. 100% of the commission paid during the first six months after the contract is issued
2. 50% of the commission paid during months seven through twelve of the first contract year
3. No recovery on SWO withdrawals



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Investment EdgeSM Compensation for Spousal Continuation (*at the death of the older spouse*) and BCO:

- a) 1.125% of the amount applied to BCO or Spousal Continuation (as applicable).
- b) Quarterly trail compensation (the "BCO/Spousal Continuation trail") will be the greater of:
 - i) 0.20% annually (0.05% per quarter) of the AAV after BCO/Spousal Continuation goes into effect; **or**
 - ii) The trail option, if any, originally elected when the Investment EdgeSM contract was originally issued.
- c) BCO/Spousal Continuation trails will be paid quarterly at the end of each calendar quarter on the earlier to occur of either:
 - i) The end of the first quarter after the first anniversary following the processing of the BCO or Spousal Continuation election (as applicable); **or**
 - ii) The end of any prior quarter on which the original trail, if any, would have been payable on the original Investment EdgeSM contract, if a BCO/Spousal Continuation election had not been made.
- d) There will be a 100% commission recovery upon surrender within 12 calendar months after a BCO or Spousal Continuation election has been processed.
- e) ***Income Edge payment program:*** Note that this compensation option does not apply if this feature was in effect at death and the beneficiary elects to continue with the payment stream. In such cases, the original trail for the contract will continue to apply.

Investment EdgeSM Compensation for on subsequent contributions:

Comp on subsequent contributions will be paid only to the extent that subsequent contributions are in excess of previous withdrawals (based on withdrawals made within 36 months of the subsequent contribution).